

Annexure – VII (A)
Business Associate ESG Evaluation Form

Tata Power Odisha DISCOMs are committed to promoting sustainable and responsible business practices across their value chain. As part of this commitment, this Vendor ESG evaluation has been developed to assess the Environmental, Social and Governance (ESG) maturity and sustainability readiness of Business Associates (BAs), including suppliers and service providers.

This evaluation is intended to assess the Environmental, Social and Governance (ESG) readiness of Business Associates (BAs)/Vendors associated with Tata Power Odisha DISCOMs. The objective is to encourage responsible business practices, sustainable procurement, ethical governance, environmental stewardship, employee welfare and statutory compliance. The responses will be used for ESG assessment and continuous improvement. Supporting documents may be sought wherever applicable.

Business Associates are requested to provide accurate and complete information. Supporting documents may be requested to substantiate the responses wherever applicable.

Instructions

- Tick **"Yes"** or **"No"** against each question.
- Provide relevant remarks or supporting evidence wherever applicable.
- Attach supporting documents for all applicable responses.
- Ensure that all information provided is accurate, complete, and duly authorized by the organization.
- Tata Power Odisha DISCOMs reserve the right to verify the information submitted

ESG Questionnaire

Sr. No.	Category	Question Description	Response (Y/N)	Remarks
Organization				
1	Organization	Does your Company have Sustainability Policy at Organization Level? If Yes, please attach	☐ Yes ☐ No	
2		Do you have sustainable procurement policy in place for your own suppliers? If Yes, please attach	☐ Yes ☐ No	
3		Does your company do regular assessment of its suppliers on ESG parameters?	☐ Yes ☐ No	
4		Are there ESG risks, or negative impacts identified in your supply chain	☐ Yes ☐ No	
Governance				
5	Governance	Is diversity taken into consideration when appointing board members/ senior management? Do you have an independent director/s?	☐ Yes ☐ No	
6		Has your company taken initiatives to ensure ethical practices at workplace? Please share the details, Policies etc.	☐ Yes ☐ No	
7		Does your company have a formal process to address data security or privacy issues? Please share the details, Policies etc.	☐ Yes ☐ No	
8		Does your company have grievance mechanism for stakeholder issues and track resolution?	☐ Yes ☐ No	
Environment / Planet				
9	Environment / Planet	Does your company have Environmental Policy? If Yes, please attach	☐ Yes ☐ No	
10		Do you have a formal process for waste management including solid wastes, liquid wastes and hazardous waste?	☐ Yes ☐ No	
11		Does your company track greenhouse gas emission? Also, what percentage of own consumption comes from the renewable energy?	☐ Yes ☐ No	
12		Does your company have a formal process for water management including monitoring of water consumption and withdrawals, and if applicable, pretreatment of wastewater?	☐ Yes ☐ No	
Green Technology / Innovation				
13		Are your facility/ Product/ Services provided by you is based on green design, green production, green	☐ Yes ☐ No	

Sr. No.	Category	Question Description	Response (Y/N)	Remarks
	Green Technology / Innovation	packaging or green logistics considerations? Please elaborate.		
14		Do your products or services have any environmental or social features or benefits (e.g. environmental/energy certification, ecolabels, fair trade certification, etc.)?	☐ Yes ☐ No	
Social / People				
15	Social / People	Does your facility/ Company have written personnel policies in place Are you an equal opportunity employer?	☐ Yes ☐ No	
16		Please describe any formal programme / campaign in place to promote company involvement with the community (volunteering, etc.). What is the percentage of profit spend on community activities?	☐ Yes ☐ No	
17		Does your company have a written Health & Safety Policy or Program? If Yes, Please attach	☐ Yes ☐ No	
Certifications				
18	Certification	ISO9001 accreditation	☐ Yes ☐ No	
19		SA8000 or equivalent	☐ Yes ☐ No	
20		ISO 14001 certification	☐ Yes ☐ No	
21		ISO 18001/45001 or equivalent	☐ Yes ☐ No	
22		ISO/IEC 27001 or equivalent	☐ Yes ☐ No	
23		Any Other (Please specify)	☐ Yes ☐ No	

Declaration:

I hereby certify that the information provided above is true and correct to the best of my knowledge.